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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.		OR03-07401
		First Inventor		Sreenivas Gollapudi
		Title	Method and Apparatus for Efficient Transmission of Result Set Data	
		Express Mail Label No.		EL 978 246 173 US

22386 U.S. PTO

10/658724

09/09/03

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: <small>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</small>
<div style="margin-bottom: 10px;"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> </div> <div style="margin-bottom: 10px;"> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. </div> <div style="margin-bottom: 10px;"> 3. <input checked="" type="checkbox"/> Specification [Total Pages 17] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </div> <div style="margin-bottom: 10px;"> 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2] </div> <div style="margin-bottom: 10px;"> 5. Oath or Declaration [Total Pages 3] <div style="margin-left: 20px;"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) </div> <div style="margin-left: 20px;"> b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> <div style="margin-left: 20px;"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small> </div> </div> </div> <div> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76. </div>	<div style="margin-bottom: 10px;"> 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) </div> <div style="margin-bottom: 10px;"> 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <div style="margin-left: 20px;"> a. <input type="checkbox"/> Computer Readable Copy (CRF) </div> <div style="margin-left: 20px;"> b. Specification Sequence Listing on: <div style="margin-left: 20px;"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or </div> <div style="margin-left: 20px;"> ii. <input type="checkbox"/> paper </div> </div> </div> <div style="margin-bottom: 10px;"> c. <input type="checkbox"/> Statement verifying identity of above copies </div>	
ACCOMPANYING APPLICATION PARTS		
<div style="margin-bottom: 10px;"> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) </div> <div style="margin-bottom: 10px;"> 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee) (Combined with Declaration)</small> </div> <div style="margin-bottom: 10px;"> 11. <input type="checkbox"/> English Translation Document (if applicable) </div> <div style="margin-bottom: 10px;"> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations </div> <div style="margin-bottom: 10px;"> 13. <input type="checkbox"/> Preliminary Amendment </div> <div style="margin-bottom: 10px;"> 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> </div> <div style="margin-bottom: 10px;"> 15. <input type="checkbox"/> Certified copy of Priority Document(s) (if foreign priority is claimed) </div> <div style="margin-bottom: 10px;"> 16. <input type="checkbox"/> Certified Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. </div> <div> 17. <input checked="" type="checkbox"/> Other: <u>Credit card payment form for \$1,026</u> </div>		
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 10px;"> <div style="width: 60%;"> <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: <u> / </u> filed <u> </u> </div> <div style="width: 35%;"> Group Art Unit: <u> </u> </div> </div> <p style="font-size: small; margin-top: 10px;"> Prior application information: <u> </u> Examiner: <u> </u> </p> <p style="font-size: x-small; margin-top: 10px;"> For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. </p>		
19. CORRESPONDENCE ADDRESS		
<div style="display: flex; align-items: center;"> <input checked="" type="checkbox"/> Customer Number or Bar Code Label <div style="flex-grow: 1; text-align: center;"> <p style="font-size: x-small; margin-top: 5px;">(Insert Customer No. or attach bar code label here)</p> </div> <div style="width: 20%; text-align: right;"> or <input type="checkbox"/> Correspondence address below </div> </div>		
Name	Park, Vaughan & Fleming	
Address	PATENT TRADEMARK OFFICE	
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199
Signature		Date	September 9, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.** Transmittal (App)

02527 U.S. PTO
09/09/03

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

C mplete if Kn wn

TOTAL AMOUNT OF PAYMENT (\$ 1,026

Application Number

Filing Date

September 9, 2003

First Named Inventor

Sreenivas Gollapudi

Examiner Name

Group Art Unit

Attorney Docket No.

OR03-07401

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit
Account
Number
Deposit
Account
Name

50-1801

Park, Vaughan & Fleming LLP

- ☒ Charge any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:
☐ Check ☒ Credit card ☐ Money ☐ Other
Order

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 750

2. EXTRA CLAIM FEES

2. EXTRA CLAIMS		Fee from below		Fee Paid
Total Claims	26	Extra Claims -20**= 6	X 18	108
Independent Claims	5	-3 **= 2	X 84	168
Multiple Dependent				

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 276

FEE CALCULATION (continued)

3. ADDITIONAL FEES


Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet.	
1053 130	1053 130	Non-English specification	
1812 2520	1812 2520	Request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1840*	1805 1840*	Requesting publication of SIR after Examiner action	
1251 110	2255 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1450	2354 725	Extension for reply within fourth month	
1255 1970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1510	1451 1510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1300	2453 650	Petition to revive - unintentional	
1501 1300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee for provisional applications	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199	Telephone	650-474-1973
Signature				Date	September 9, 2003

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)		First Name and Inventor	Sreenivas Gollapudi
		Title	Method and Apparatus for Efficient Transmission of Result Set Data
		Attorney Docket Number	OR03-07401

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 9, 2003

Date



Signature

Daniel E. Vaughan

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**